

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)
Mar 31, 2020
2. SEC Identification Number
A199908874
3. BIR Tax Identification No.
202955796
4. Exact name of issuer as specified in its charter
DFNN Inc.
5. Province, country or other jurisdiction of incorporation
Philippines
6. Industry Classification Code(SEC Use Only)
7. Address of principal office
L2 - L5 14th Floor, 5th Ave. cor. 28th St., Philippine Stock Exchange Tower, BGC, Taguig
City
Postal Code
1634
8. Issuer's telephone number, including area code
(02) 396 - 5270 loc. 104
9. Former name or former address, if changed since last report
None
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
|---------------------|---|
| Common | 324,620,008 |

11. Indicate the item numbers reported herein
None

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



DFNN, Inc.

DFNN

**PSE Disclosure Form 13-1 - Change in Shareholdings of
Directors and Principal Officers**
**References: SRC Rule 23 (SEC Form 23-B) and
Section 13 of the Revised Disclosure Rules**

Subject of the Disclosure

Change in Shareholdings of Director and Principal Officer
(Amending the box pertaining to "A" or "D" herein below)

Background/Description of the Disclosure

On 26 March 2020, President and CEO Calvin Lim directly acquired 9,000 shares of stock of DFNN Inc. at Php 3.00 per share.

| | |
|-----------------------|------------|
| Name of Person | Calvin Lim |
|-----------------------|------------|

| | |
|-----------------------------|-------------------|
| Position/Designation | President and CEO |
|-----------------------------|-------------------|

For Equity Securities

| Type of Security / Stock Symbol | Date of Transaction | Securities Acquired (A) or Disposed (D) | | | Ownership Form Direct (D) or Indirect (I) | Nature of Indirect Beneficial Ownership |
|------------------------------------|------------------------|--|---------------|--------------------|--|--|
| | | Number of Shares | (A) or (D) | Price per Share | | |
| DFNN | Mar 26, 2020 | 9,000 | A | 3.00 | D | - |

Number of Shares Owned after the Transaction

| | |
|---------------|--------|
| Direct | 21,000 |
|---------------|--------|

| | |
|-----------------|---|
| Indirect | - |
|-----------------|---|

Filed on behalf by:

| | |
|-------------|-----------------------|
| Name | Cyrus Richard Montesa |
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| Designation | Chief Legal Counsel/Chief Compliance Officer |
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