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**DFNN, Inc.**  
**DFNN**

**PSE Disclosure Form POR-1 - Public Ownership Report**  
**Reference: Amended Rule on Minimum Public Ownership**

**Report Type**

- Monthly  
 Quarterly  
 Others

<b>Report Date</b>	Sep 30, 2019
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**Computation of Public Ownership**

<b>Number of Issued and Outstanding Common Shares</b>	324,620,008
<b>Less: Number of Treasury Common Shares, if any</b>	0
<b>Number of Outstanding Common Shares</b>	324,620,008

**Less :**

**A. Directors**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Ramon C. Garcia, Jr.	15,741,766	0	15,741,766	4.85
Elmer B. Serrano	100	0	100	0
James Beltran	760,000	0	760,000	0.23
Antonio A. Lopa	1,150,000	0	1,150,000	0.35
Franchette A. Acosta	100	0	100	0
Jean Henri D. Lhuillier	17,359,053	0	17,359,053	5.35
Marco Antonio R. Urera	1,133,501	0	1,133,501	0.35
Edwin B. Villanueva	1,012,501	0	1,012,501	0.31
Eric Francis P. Ongkauko	125,001	0	125,001	0.04
Calvin Lim	1,000	0	1,000	0
Brandon C. Chan	1	0	1	0
	37,283,023	0	37,283,023	11.48

**B. Officers**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Raymundo Martin M. Escalona	0	0	0	0
Dominique C. Laconico	110,000	0	110,000	0.03
Christopher M. Tio	0	0	0	0
Elisaire T. Lacson	0	0	0	0
Atty. Cyrus R. Montesa	0	0	0	0
Ricardo F. Banaag	0	0	0	0
Vic C. Asuncion	0	0	0	0
Abigail A. Garcia	0	0	0	0
	110,000	0	110,000	0.03

**C. Principal/Substantial Stockholders**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Diversified Securities, Inc.	200,000	5,564,844	5,764,844	1.77
OCU Group, Inc.	2,800,000	0	2,800,000	0.86
Excel Century Investment Limited	47,540,983	0	47,540,983	14.64
	50,540,983	5,564,844	56,105,827	17.27

**D. Affiliates**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**E. Government**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**F. Banks**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**G. Employees**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**H. Lock-Up Shares**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

**I. Others**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	0	0	0	0
	0	0	0	0

<b>Number of Listed Common Shares</b>	318,247,575
<b>Total Number of Non-Public Shares</b>	93,498,850
<b>Total Number of Shares Owned by the Public</b>	231,121,158

<b>Public Ownership Percentage</b>	71.20
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**Other Relevant Information**

None

**Filed on behalf by:**

<b>Name</b>	Cyrus Richard Montesa
<b>Designation</b>	Chief Legal Counsel/Chief Compliance Officer