



109062019000956



SECURITIES AND EXCHANGE COMMISSION

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Company Information

SEC Registration No. A199908874
Company Name DFNN INC.
Industry Classification Research & Experimental Dev. In Information Technology
Company Type Stock Corporation

Document Information

Document ID 109062019000956
Document Type Initial Statement of Beneficial Ownership
Document Code 23A
Period Covered August 30, 2019
No. of Days Late 0
Department CFD
Remarks REPORTING PERSON
GARCIA ABIGAIL AVELLANA

COVER SHEET

A 1 9 9 9 0 8 8 7 9

S.E.C. Registration Number

DEMINING

(Company's Full Name)

TAGUIG CITY

(Business Address: No. Street City / Town / Province)

Cyrus Montesa

Contact Person

(02) 396-5270

Company Telephone Number

Month Day
Fiscal Year

FORM TYPE

Month Day
Annual Meeting

Secondary License Type, if Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

#3
Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

FORM 23-A

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

REVISED

| | | | | | | |
|--|--|---|--|--|--|--|
| 1. Name and Address of Reporting Person Garcia Abigail Avellana (Last) (First) (Middle) L2-L5 PSE Tower, 5th Ave cor 28th St Bonifacio Global City, Taguig (Street) Quezon City (City) (Province) (Postal Code) | | 2. Date of Event Requiring (Month/Day/Year) 08/30/2019 | | 5. Issuer Name and Trading Symbol DFNN Inc. DFNN | | 7. If Amendment, Date of Original (Month/Day/Year) |
| | | 3. Tax Identification Number 182933413000 | | 6. Relationship of Reporting Person to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (Specify below) Investor Relations Officer | | |
| | | 4. Citizenship Filipino | | Table 1 - Equity Securities Beneficially Owned | | |
| 1. Class of Equity Security None | | 2. Amount of Securities Beneficially Owned | | 3. Ownership Form: Direct (D) or Indirect (I) | | 4. Nature of Indirect Beneficial Ownership |
| | | % Number | | | | |
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If the reporting person previously owned 5% or more but less than 10%, provide the disclosure requirements set forth on page 3 of this Form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.
 (Print or Type Responses)
 (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
 (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
 (B) Investment power which includes the power to:
 (1) A person will be deemed to have an indirect beneficial interest in any equity security which is:
 (A) held by members of a person's immediate family sharing the same household; by members of a person's immediate family sharing the same household;
 (B) held by a partnership in which such person is a general partner;
 (C) held by a corporation of which such person is a controlling shareholder, owned by a corporation of which such person is a controlling shareholder; or
 (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Table II - Derivative Securities Beneficially Owned (e.g., warrants, options, convertible securities)

| 1. Derivative Security | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Equity Securities Underlying the Derivative Security | 4. Conversion or Exercise Price of Derivative Security | 6. Ownership Form of Derivative Security Direct (D) or Indirect (I) * | 8. Nature of Indirect Beneficial Ownership |
|------------------------|--|-----------------|---|--|---|--|
| | Date Exercisable | Expiration Date | | | | |
| None | | | | | | |
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Explanation of Responses:

Table II - Derivative Securities Beneficially Owned (e.g., warrants, options, convertible securities)

| 1. Derivative Security | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Equity Securities Underlying the Derivative Security | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security (Direct (D) or Indirect (I)) | 6. Nature of Indirect Beneficial Ownership |
|------------------------|--|-----------------|---|--|---|--|
| | Date Exercisable | Expiration Date | | | | |
| None | | | | | | |
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Explanation of Responses:

(Print or Type Responses)

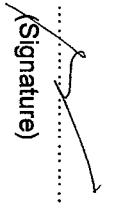
After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of on 20.....

SIGNATURE

By:

.....
(Name/Title)

By:


.....
(Signature)

ARIGAIL A. GARCIA - NUISANCE RELATIONS OFFICER
.....
(Name/Title)