

## SECURITIES AND EXCHANGE COMMISSION

### SEC FORM 17-C

#### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)  
Mar 29, 2019
2. SEC Identification Number  
A199908874
3. BIR Tax Identification No.  
202955796
4. Exact name of issuer as specified in its charter  
DFNN Inc.
5. Province, country or other jurisdiction of incorporation  
Philippines
6. Industry Classification Code(SEC Use Only)
7. Address of principal office  
L2 - L5 14th Floor, Philippine Stock Exchange Tower, BGC, Taguig City, Philippines  
Postal Code  
1634
8. Issuer's telephone number, including area code  
(02) 396 - 5270 loc. 104
9. Former name or former address, if changed since last report  
None
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA
 

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
Common	324,620,008
11. Indicate the item numbers reported herein  
None

*The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.*



**DFNN, Inc.**

# DFNN

**PSE Disclosure Form 13-1 - Change in Shareholdings of  
Directors and Principal Officers  
References: SRC Rule 23 (SEC Form 23-B) and  
Section 13 of the Revised Disclosure Rules**

**Subject of the Disclosure**

Change in Shareholdings of Director and Principal Officer

**Background/Description of the Disclosure**

On 26 March 2019, Executive Vice Chairman and Executive Director Ramon C. Garcia directly acquired shares of stock of DFNN Inc as follows: (i) 13,000 DFNN Inc. shares at Php 6.89 per share; and (ii) 30,000 DFNN Inc. shares at Php 6.98.

<b>Name of Person</b>	Ramon C. Garcia
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<b>Position/Designation</b>	Executive Vice Chairman and Executive Director
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**For Equity Securities**

Type of Security / Stock Symbol	Date of Transaction	Securities Acquired (A) or Disposed (D)			Ownership Form Direct (D) or Indirect (I)	Nature of Indirect Beneficial Ownership
		Number of Shares	(A) or (D)	Price per Share		
DFNN	Mar 26, 2019	13,000	A	6.89	D	-
DFNN	Mar 26, 2019	30,000	A	6.98	D	-

**Number of Shares Owned after the Transaction**

<b>Direct</b>	15,741,766
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<b>Indirect</b>	-
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**Filed on behalf by:**

<b>Name</b>	Cyrus Richard Montesa
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<b>Designation</b>	Chief Legal Counsel/Chief Compliance Officer
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