

## SECURITIES AND EXCHANGE COMMISSION

### SEC FORM 17-C

#### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)  
Mar 20, 2019
2. SEC Identification Number  
A199908874
3. BIR Tax Identification No.  
202955796
4. Exact name of issuer as specified in its charter  
DFNN Inc.
5. Province, country or other jurisdiction of incorporation  
Philippines
6. Industry Classification Code(SEC Use Only)
7. Address of principal office  
L2 - L5 14th Floor, Philippine Stock Exchange Tower, BGC, Taguig City, Philippines  
Postal Code  
1634
8. Issuer's telephone number, including area code  
(02) 396 - 5270 loc. 104
9. Former name or former address, if changed since last report  
None
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
Common	324,620,008

11. Indicate the item numbers reported herein  
None

*The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.*



**DFNN, Inc.**

**DFNN**

**PSE Disclosure Form 4-30 - Material Information/Transactions**  
**References: SRC Rule 17 (SEC Form 17-C) and**  
**Sections 4.1 and 4.4 of the Revised Disclosure Rules**

**Subject of the Disclosure**

SEC Letter dated 28 February 2019

**Background/Description of the Disclosure**

The Company was informed today of a letter dated 28 February 2019, from the Corporate Governance and Finance Department (CGFD) of the Securities and Exchange Commission (SEC), imposing a penalty on the Company in the total amount of PhP53,760.00 for violation of SEC Memorandum Circular 11, Series of 2014. The SEC-CGFD claims that the Company is non-compliant with the said Circular providing the website template for Publicly Listed Companies (PLC) after a review of the company website. SEC-CGFD directed the Company to pay the imposed penalty within ten (10) days from receipt of the Letter.

**Other Relevant Information**

None

**Filed on behalf by:**

<b>Name</b>	Cyrus Richard Montesa
<b>Designation</b>	Chief Legal Counsel/Chief Compliance Officer