

Stock Transfer Service Inc.  
DFNN, INC.  
List of Top 100 Stockholders  
As of 09/30/2018

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Rank	Name	Holdings	Rank
1	PCD NOMINEE CORPORATION (FILIPINO)	198,818,125	61.25%
2	PCD NOMINEE CORPORATION (NON-FILIPINO)	81,927,293	25.24%
3	JEAN HENRI D. LHUILLIER	14,213,257	04.38%
4	RAMON C. GARCIA JR.	4,888,666	01.51%
5	CLAREX LIMITED	4,244,344	01.31%
6	WESTDALE GROUP LIMITED	3,393,514	01.05%
7	OCU GROUP, INC.	2,800,000	00.86%
8	BRIDGE INFORMATION SYSTEMS (INT'L.) INC.	2,223,993	00.69%
9	P. J. LHUILLIER, INC.	1,000,000	00.31%
10	UNO PRIMERA HOLDINGS & PROPERTY, INC	1,000,000	00.31%
11	SUMMIT INVESTMENTS WORLDWIDE, INC.	963,969	00.30%
12	RAUL A. DAZA OR GINA MARIE DAZA SADHWANI	893,663	00.28%
13	RAUL A. DAZA OR JOAN RUBY DAZA DWYER	893,662	00.28%
14	DRA LILY CHA	815,302	00.25%
15	MARCO URERA	770,001	00.24%
16	JAMES BELTRAN	760,000	00.23%
17	GARY LEE SEATON	750,000	00.23%
18	WILLIAM MARTIN L. CU UNJIENG	548,757	00.17%
19	NISEKO HOLDINGS CORPORATION	500,000	00.15%
20	SHUN WEI HOLDINGS LTD	475,000	00.15%
21	MANUEL Y. CARMONA	428,148	00.13%
22	RAUL DAZA	400,000	00.12%
23	PAUL BRADLEY	300,000	00.09%
24	PAULO COPPOLA	268,000	00.08%
25	LUKE P. LA VALLE	200,000	00.06%
26	ASAVA-AREE PONCHAI	199,000	00.06%

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27	JOSEPH Y. ROXAS	152,800	00.05%
28	ROBERTO F. DE OCAMPO	150,001	00.05%
29	SATURNINO BELEN	100,000	00.03%
30	RITA GABRIEL	100,000	00.03%
31	MANUEL Y. CARMONA AND JOSE FABIAN P. CARMONA &/OR CARLOS P. CARMONA	100,000	00.03%
32	TEXTRON CORPORATION	100,000	00.03%
33	JESUS M. ZULUETA JR.	67,000	00.02%
34	JERRY M. CAMPECIQO	20,000	00.01%
35	MICHEAL TAY SHEN CHIN	20,000	00.01%
36	AMALGAMATED INVESTMENT BANKCORPORATION	18,000	00.01%
37	JOSE MARI L. CHAN	16,000	00.00%
38	JOSE &/OR MA. TERESA ESCAY	15,000	00.00%
39	DAMIAN DOMINGO O. MAPA	14,000	00.00%
40	LOLITA BRENDA J. ORLOPP	10,000	00.00%
41	MA. TERESA UY ANCHETA	7,000	00.00%
42	CARLOS H. MANDUJANO	7,000	00.00%
43	OLIVIA SO UY	6,000	00.00%
44	CATHERINE MICHELLE EDEJER PANOTES	5,000	00.00%
45	AMADO M. SANTIAGO JR.	5,000	00.00%
46	BETTY GO LIM	5,000	00.00%
47	REDENTOR R. SAMAR	3,888	00.00%
48	REYNALDO U. DAGOY	2,000	00.00%
49	ROBERT S. JACOBSON	2,000	00.00%
50	GEORGE S. CAPARAS	2,000	00.00%
51	GARY C. ALETA	2,000	00.00%
52	YVONNE THERESE V. LOY	2,000	00.00%

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Rank	Name	Holdings	Rank
53	ROLANDO B. MACATULAD	2,000	00.00%
54	ROMALDO AROGANTE DE LEON	2,000	00.00%
55	ERWIN B. EJORANGO	1,000	00.00%
56	ENRIQUE GERARDO KATIGBAK YUPANGCO	1,000	00.00%
57	ALEXANDER G. ASUNCION	1,000	00.00%
58	GUILLERMO F. GILI JR.	1,000	00.00%
59	JACQUELINE ARANAS	1,000	00.00%
60	JERICO S. PASCUAL	1,000	00.00%
61	JULIUS J. SANVICTORES	1,000	00.00%
62	JERRY TEO OR CHUA JEFFREY TEO CHUA	1,000	00.00%
63	MARGARITA C. BAUTISTA	1,000	00.00%
64	MARIETTA V. CABREZA	100	00.00%
65	MILAGROS P. VILLANUEVA	100	00.00%
66	MYRA P. VILLANUEVA	100	00.00%
67	MYRNA P. VILLANUEVA	100	00.00%
68	JESUS SAN LUIS VALENCIA	100	00.00%
69	SHAREHOLDERS' ASSOCIATION OF THE PHILIPPINES, INC.	100	00.00%
70	AURELIO A. PEREZ	10	00.00%
71	FILOMENO G. FRANCISCO	10	00.00%
72	ERIC FRANCIS P. ONGKAUKO	1	00.00%
73	EDWIN B. VILLANUEVA	1	00.00%
74	OWEN NATHANIEL S. AU ITF: LI MARCUS AU	1	00.00%
75	HIRO (HIROTSUGU) KOBAYASHI	1	00.00%
76	SATURNINO G. BELEN JR.	1	00.00%

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Total Top 100 Shareholders : -----  
324,620,008 100.00%  
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Total Outstanding Shares -----  
324,620,008  
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**BENEFICIAL OWNER REPORT**

Company Code - DFNN0000000 &amp; Company Name - DFNN, INC.

Business Date: September 28, 2018

<b>BPNAME</b>	<b>HOLDINGS</b>
UPCC SECURITIES CORP.	29,000
A & A SECURITIES, INC.	1,671,900
ABACUS SECURITIES CORPORATION	107,531
PHILSTOCKS FINANCIAL INC	711,327
A. T. DE CASTRO SECURITIES CORP.	60,400
BA SECURITIES, INC.	10,000
AP SECURITIES INCORPORATED	2,800,500
ANSALDO, GODINEZ & CO., INC.	136,800
AB CAPITAL SECURITIES, INC.	3,419,900
SB EQUITIES, INC.	3,200,400
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	7,900
ASIASEC EQUITIES, INC.	399,100
ASTRA SECURITIES CORPORATION	269,600
BELSON SECURITIES, INC.	273,400
BENJAMIN CO CA & CO., INC.	100,000
B. H. CHUA SECURITIES CORPORATION	12,100
JAKA SECURITIES CORP.	800
BPI SECURITIES CORPORATION	519,783
CAMPOS, LANUZA & COMPANY, INC.	94,000
SINCERE SECURITIES CORPORATION	1,000
BDO NOMURA SECURITIES INC	54,200
CITISECURITIES, INC.	5,000
TRITON SECURITIES CORP.	142,000
IGC SECURITIES INC.	640,800
DAVID GO SECURITIES CORP.	6,000
DIVERSIFIED SECURITIES, INC.	125,787,549
E. CHUA CHIACO SECURITIES, INC.	215,000
EASTERN SECURITIES DEVELOPMENT CORPORATION	170,000
EQUITIWORLD SECURITIES, INC.	2,668,201
EVERGREEN STOCK BROKERAGE & SEC., INC.	253,000
FIRST ORIENT SECURITIES, INC.	172,700
FIRST INTEGRATED CAPITAL SECURITIES, INC.	240,000
F. YAP SECURITIES, INC.	27,000
AURORA SECURITIES, INC.	1,696,400
GLOBALINKS SECURITIES & STOCKS, INC.	236,600
GOLDSTAR SECURITIES, INC.	111,000
GUILD SECURITIES, INC.	10,000
HDI SECURITIES, INC.	343,600
H. E. BENNETT SECURITIES, INC.	111,600
I. B. GIMENEZ SECURITIES, INC.	91,100
INVESTORS SECURITIES, INC,	4,000
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	6,000
INTRA-INVEST SECURITIES, INC.	322,100
J.M. BARCELON & CO., INC.	1,200
STRATEGIC EQUITIES CORP.	869,100
LUCKY SECURITIES, INC.	9,121
LUYS SECURITIES COMPANY, INC.	10,000

BPNAME	HOLDINGS
MANDARIN SECURITIES CORPORATION	1,805,200
COL Financial Group, Inc.	3,222,965
DA MARKET SECURITIES, INC.	7,501,200
MERCANTILE SECURITIES CORP.	28,000
MERIDIAN SECURITIES, INC.	12,000
MDR SECURITIES, INC.	331,200
NEW WORLD SECURITIES CO., INC.	1,000
NIEVES SECURITIES, INC.	18,000
OPTIMUM SECURITIES CORPORATION	13,000
RCBC SECURITIES, INC.	178,700
PAN ASIA SECURITIES CORP.	12,500
PAPA SECURITIES CORPORATION	400,900
MAYBANK ATR KIM ENG SECURITIES, INC.	86,420,994
PLATINUM SECURITIES, INC.	35,100
PREMIUM SECURITIES, INC.	356,400
SALISBURY BKT SECURITIES CORPORATION	2,077,400
QUALITY INVESTMENTS & SECURITIES CORPORATION	5,740,000
R. COYIUTO SECURITIES, INC.	21,500
REGINA CAPITAL DEVELOPMENT CORPORATION	140,400
R. NUBLA SECURITIES, INC.	920,131
R. S. LIM & CO., INC.	97,400
S.J. ROXAS & CO., INC.	178,800
SECURITIES SPECIALISTS, INC.	19,400
FIDELITY SECURITIES, INC.	40,000
SUMMIT SECURITIES, INC.	90,000
STANDARD SECURITIES CORPORATION	202,500
TANSENGCO & CO., INC.	369,000
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	320,000
TOWER SECURITIES, INC.	186,900
APEX PHILIPPINES EQUITIES CORPORATION	5,000
TRI-STATE SECURITIES, INC.	94,500
UCPB SECURITIES, INC.	76,900
VENTURE SECURITIES, INC.	10,000
FIRST METRO SECURITIES BROKERAGE CORP.	1,271,040
WEALTH SECURITIES, INC.	407,900
BERNAD SECURITIES, INC.	1,735,000
YAO & ZIALCITA, INC.	2,054,123
YU & COMPANY, INC.	26,200
BDO SECURITIES CORPORATION	4,514,200
EAGLE EQUITIES, INC.	4,802,100
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	23,000
SOLAR SECURITIES, INC.	566,100
G.D. TAN & COMPANY, INC.	555,900
PHILIPPINE EQUITY PARTNERS, INC.	33
UNICAPITAL SECURITIES INC.	103,200
SunSecurities, Inc.	2,319,400
ARMSTRONG SECURITIES, INC.	10,000
KING'S POWER SECURITIES, INC.	3,000
TIMSON SECURITIES, INC.	108,400
CITIBANK N.A.	620,000
DEUTSCHE BANK MANILA-CLIENTS A/C	1,500,000
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	130,000
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	129,000

BPNAME	HOLDINGS
MBTC - TRUST BANKING GROUP	911,120
<b>TOTAL</b>	<b>280,745,418</b>

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.

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