C04650-2015

# SECURITIES AND EXCHANGE COMMISSION SEC FORM 17-C

## CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Aug 16, 2015

2. SEC Identification Number

A199908874

3. BIR Tax Identification No.

202955796000

4. Exact name of issuer as specified in its charter

DFNN, Inc.

5. Province, country or other jurisdiction of incorporation

Philippines

- 6. Industry Classification Code(SEC Use Only)
- 7. Address of principal office

3F Bonifacio Technology Center, 31st St. cor. 2nd Ave., Bonifacio Global City, Taguig Postal Code

1634

8. Issuer's telephone number, including area code

(02) 818-0973

9. Former name or former address, if changed since last report

NA

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |   |
|---------------------|---|---|
| Common              | 500,000,000   | 1 |

11. Indicate the item numbers reported herein

None

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



# DFNN, Inc.

PSE Disclosure Form 13-1 - Change in Shareholdings of Directors and Principal Officers

References: SRC Rule 23 (SEC Form 23-B) and Section 13 of the Revised Disclosure Rules

**Subject of the Disclosure** 

Acquisition of Shares

**Background/Description of the Disclosure** 

Thru subscription via the increase in the authorized capital stock of the company.

| Name of Person       | Roberto F. de Ocampo |
|----------------------|----------------------|
| Position/Designation | Director             |

#### **For Equity Securities**

| Type of Security /                 | Date of        | Securities Acquired (A) or Disposed (D) |                  |                    | Ournership Form Direct            | Nature of Indirect   |
|------------------------------------|----------------|---|------------------|--------------------|-----------------------------------|----------------------|
| Type of Security /<br>Stock Symbol | Transaction    | Number of Shares                        | (A)<br>or<br>(D) | Price per<br>Share | (D) or Indirect (I) Beneficial Ow | Beneficial Ownership |
| DFNN                               | Aug 7,<br>2015 | 100,000                                 | A                | 3.50               | D                                 | NA                   |

#### Number of Shares Owned after the Transaction

| Direct   | 250,001 |  |
|----------|---------|--|
| Indirect | 0       |  |

### Filed on behalf by:

| Name        | Maria Patricia De Las Cagigas |
|-------------|-------------------------------|
| Designation | Compliance Officer            |
|             |                               |