

Stock Transfer Service Inc.  
 DFNN, INC.  
 List of Top 100 Stockholders  
 As of 09/30/2017

Rank	Sth. No.	Name	Citizenship	Holdings	Rank
1	0000000001	PCD NOMINEE CORPORATION (FILIPINO)	Filipino	172,214,117	53.08%
2	0000000211	EXCEL CENTURY INVESTMENT LIMITED	British virgin island	74,877,694	23.08%
3	0000000188	LEISURE & RESORTS WORLD CORPORATION	Filipino	20,777,047	06.40%
4	0000000046	JEAN HENRI D. LHUILLIER	Filipino	14,213,257	04.38%
5	0000000002	PCD NOMINEE CORPORATION (NON-FILIPINO)	Foreign	12,763,426	03.93%
6	0000000045	RAMON C. GARCIA JR.	Filipino	4,888,666	01.51%
7	0000000051	CLAREX LIMITED	Foreign	4,244,344	01.31%
8	0000000166	WESTDALE GROUP LIMITED	Filipino	3,393,514	01.05%
9	0000000091	OCU GROUP, INC.	Filipino	2,800,000	00.86%
10	0000000050	BRIDGE INFORMATION SYSTEMS (INT'L.) INC.	Foreign	2,223,993	00.69%
11	0000000078	P. J. LHUILLIER, INC.	Filipino	1,000,000	00.31%
12	0000000180	UNO PRIMERA HOLDINGS & PROPERTY, INC	Filipino	1,000,000	00.31%
13	0000000186	SUMMIT INVESTMENTS WORLDWIDE, INC.	Foreign	963,969	00.30%
14	0000000205	RAUL A. DAZA OR GINA MARIE DAZA SADHWANI	Filipino	893,663	00.28%
15	0000000206	RAUL A. DAZA OR JOAN RUBY DAZA DWYER	Filipino	893,662	00.28%
16	0000000113	DRA LILY CHA	Filipino	815,302	00.25%
17	0000000040	MARCO URERA	Filipino	770,001	00.24%
18	0000000085	JAMES BELTRAN	Malaysian	760,000	00.23%
19	0000000200	WILLIAM MARTIN L. CU UNJIENG	Filipino	548,757	00.17%
20	0000000182	NISEKO HOLDINGS CORPORATION	Filipino	500,000	00.15%
21	0000000183	SHUN WEI HOLDINGS LTD	Foreign	475,000	00.15%
22	0000000169	MANUEL Y. CARMONA	Filipino	428,148	00.13%
23	0000000066	RAUL DAZA	Filipino	400,000	00.12%
24	0000000184	PAUL BRADLEY	Foreign	300,000	00.09%
25	0000000185	PAULO COPPOLA	Foreign	268,000	00.08%

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26	0000000191	GUEVENT INVESTMENTS DEVELOPMENT CORPORATION	Filipino	246,067	00.08%
27	0000000196	DOMINGO S. GUEVARA, JR.	Filipino	232,141	00.07%
28	0000000190	BINGO KING SYSTEMS PHILIPPINES REALTY & DEVELOPMENT CORP.	Filipino	214,074	00.07%
29	0000000060	LUKE P. LA VALLE	Foreign	200,000	00.06%
30	0000000006	ASAVA-AREE PONCHAI	Foreign	199,000	00.06%
31	0000000062	ROBERTO F. DE OCAMPO	Filipino	150,001	00.05%
32	0000000123	SATURNINO BELEN	Filipino	100,000	00.03%
33	0000000126	RITA GABRIEL	Filipino	100,000	00.03%
34	0000000090	MANUEL Y. CARMONA AND JOSE FABIAN P. CARMONA &/OR CARLOS P. CARMONA	Filipino	100,000	00.03%
35	0000000079	TEXTRON CORPORATION	Filipino	100,000	00.03%
36	0000000061	JESUS M. ZULUETA JR.	Filipino	67,000	00.02%
37	0000000170	REYNALDO S. GUEVARA	Filipino	62,154	00.02%
38	0000000195	RICARDO S. GUEVARA	Filipino	32,464	00.01%
39	0000000107	CELIA G LAZARO	Filipino	31,869	00.01%
40	0000000106	CARMEN T.G. MONFORT	Filipino	31,546	00.01%
41	0000000036	MICHEAL TAY SHEN CHIN	Foreign	20,000	00.01%
42	0000000012	JERRY M. CAMPECIQO	Filipino	20,000	00.01%
43	0000000076	AMALGAMATED INVESTMENT BANKCORPORATION	Filipino	18,000	00.01%
44	0000000157	JOSE MARI L. CHAN	Filipino	16,000	00.00%
45	0000000019	JOSE &/OR MA. TERESA ESCAY	Filipino	15,000	00.00%
46	0000000031	DAMIAN DOMINGO O. MAPA	Filipino	14,000	00.00%
47	0000000032	LOLITA BRENDA J. ORLOPP	Filipino	10,000	00.00%
48	0000000005	MA. TERESA UY ANCHETA	Filipino	7,000	00.00%
49	0000000030	CARLOS H. MANDUJANO	Foreign	7,000	00.00%
50	0000000198	BENJAMIN S. GUEVARA	Filipino	6,555	00.00%

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51	0000000197	ROBERTO S. GUEVARA	Filipino	6,364	00.00%
52	0000000039	OLIVIA SO UY	Filipino	6,000	00.00%
53	0000000067	AMADO M. SANTIAGO JR.	Filipino	5,000	00.00%
54	0000000027	BETTY GO LIM	Filipino	5,000	00.00%
55	0000000172	CATHERINE MICHELLE EDEJER PANOTES	Filipino	5,000	00.00%
56	0000000084	REDENTOR R. SAMAR	Filipino	3,888	00.00%
57	0000000109	JOSEPH Y. ROXAS	Filipino	2,800	00.00%
58	0000000081	GARY C. ALETA	Filipino	2,000	00.00%
59	0000000028	YVONNE THERESE V. LOY	Filipino	2,000	00.00%
60	0000000029	ROLANDO B. MACATULAD	Filipino	2,000	00.00%
61	0000000011	GEORGE S. CAPARAS	Filipino	2,000	00.00%
62	0000000025	ROBERT S. JACOBSON	Foreign	2,000	00.00%
63	0000000015	REYNALDO U. DAGOY	Filipino	2,000	00.00%
64	0000000017	ROMALDO AROGANTE DE LEON	Filipino	2,000	00.00%
65	0000000018	ERWIN B. EJORANGO	Filipino	1,000	00.00%
66	0000000008	MARGARITA C. BAUTISTA	Filipino	1,000	00.00%
67	0000000003	JACQUELINE ARANAS	Filipino	1,000	00.00%
68	0000000033	JERICO S. PASCUAL	Filipino	1,000	00.00%
69	0000000044	ENRIQUE GERARDO KATIGBAK YUPANGCO	Filipino	1,000	00.00%
70	0000000083	JULIUS J. SANVICTORES	Filipino	1,000	00.00%
71	0000000092	GUILLERMO F. GILI JR.	Filipino	1,000	00.00%
72	0000000173	ALEXANDER G. ASUNCION	Filipino	1,000	00.00%
73	0000000120	JERRY TEO OR CHUA JEFFREY TEO CHUA	Filipino	1,000	00.00%
74	0000000118	JESUS SAN LUIS VALENCIA	Filipino	100	00.00%
75	0000000207	MYRA P. VILLANUEVA	Filipino	100	00.00%
76	0000000208	MYRNA P. VILLANUEVA	Filipino	100	00.00%

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77	0000000209	MILAGROS P. VILLANUEVA	Filipino	100	00.00%
78	0000000210	MARIETTA V. CABREZA	Filipino	100	00.00%
79	0000000068	FILOMENO G. FRANCISCO	Filipino	10	00.00%
80	0000000069	AURELIO A. PEREZ	Filipino	10	00.00%
81	0000000071	HIRO (HIROTSUGU) KOBAYASHI	Filipino	1	00.00%
82	0000000075	SATURNINO G. BELEN JR.	Filipino	1	00.00%
83	0000000094	EDWIN B. VILLANUEVA	Filipino	1	00.00%
84	0000000095	ERIC FRANCIS P. ONGKAUKO	Filipino	1	00.00%
85	0000000160	OWEN NATHANIEL S. AU ITF: LI MARCUS AU	Filipino	1	00.00%

Total Top 100 Shareholders : 324,470,008 100.00%

Total Outstanding Shares 324,470,008

**BENEFICIAL OWNER REPORT**

Company Code - DFNN00000000 &amp; Company Name - DFNN, INC.

Business Date: September 30, 2017

<b>BPNAME</b>	<b>HOLDINGS</b>
UPCC SECURITIES CORP.	168,500
A & A SECURITIES, INC.	1,758,500
ABACUS SECURITIES CORPORATION	1,081,231
PHILSTOCKS FINANCIAL INC	748,939
A. T. DE CASTRO SECURITIES CORP.	50,500
ALPHA SECURITIES CORP.	50,000
BA SECURITIES, INC.	10,000
AP SECURITIES INCORPORATED	1,682,400
ANSALDO, GODINEZ & CO., INC.	102,400
AB CAPITAL SECURITIES, INC.	2,847,900
SB EQUITIES, INC.	51,000
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	7,900
ASIASEC EQUITIES, INC.	599,100
ASTRA SECURITIES CORPORATION	437,100
BELSON SECURITIES, INC.	30,000
BENJAMIN CO CA & CO., INC.	100,000
B. H. CHUA SECURITIES CORPORATION	10,000
BPI SECURITIES CORPORATION	823,773
CAMPOS, LANUZA & COMPANY, INC.	3,000
BDO NOMURA SECURITIES INC	83,400
TRITON SECURITIES CORP.	113,500
IGC SECURITIES INC.	741,000
DAVID GO SECURITIES CORP.	6,000
DIVERSIFIED SECURITIES, INC.	104,707,668
E. CHUA CHIACO SECURITIES, INC.	204,700
EASTERN SECURITIES DEVELOPMENT CORPORATION	200,000
EQUITIWORLD SECURITIES, INC.	1,948,301
EVERGREEN STOCK BROKERAGE & SEC., INC.	160,000
FIRST ORIENT SECURITIES, INC.	215,000
FIRST INTEGRATED CAPITAL SECURITIES, INC.	240,000
F. YAP SECURITIES, INC.	23,000
AURORA SECURITIES, INC.	1,699,400
GLOBALINKS SECURITIES & STOCKS, INC.	259,600
GOLDSTAR SECURITIES, INC.	151,000
GUILD SECURITIES, INC.	10,000
HDI SECURITIES, INC.	802,000
H. E. BENNETT SECURITIES, INC.	255,000
I. B. GIMENEZ SECURITIES, INC.	90,000
INVESTORS SECURITIES, INC,	5,600
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	6,000
INTRA-INVEST SECURITIES, INC.	235,100
J.M. BARCELON & CO., INC.	1,200
VALUE QUEST SECURITIES CORPORATION	5,000
STRATEGIC EQUITIES CORP.	512,000
LUCKY SECURITIES, INC.	4,021
MANDARIN SECURITIES CORPORATION	1,805,200
COL Financial Group, Inc.	2,972,717

BPNAME	HOLDINGS
DA MARKET SECURITIES, INC.	3,832,600
MERCANTILE SECURITIES CORP.	28,000
MERIDIAN SECURITIES, INC.	12,000
MDR SECURITIES, INC.	430,300
MOUNT PEAK SECURITIES, INC.	7,000
NIEVES SECURITIES, INC.	1,914,977
OPTIMUM SECURITIES CORPORATION	10,000
RCBC SECURITIES, INC.	300,700
PAN ASIA SECURITIES CORP.	12,500
PAPA SECURITIES CORPORATION	616,100
MAYBANK ATR KIM ENG SECURITIES, INC.	11,223,500
PLATINUM SECURITIES, INC.	35,100
PREMIUM SECURITIES, INC.	346,400
SALISBURY BKT SECURITIES CORPORATION	2,077,400
QUALITY INVESTMENTS & SECURITIES CORPORATION	6,789,000
R. COYIUTO SECURITIES, INC.	21,000
REGINA CAPITAL DEVELOPMENT CORPORATION	165,300
R. NUBLA SECURITIES, INC.	167,000
R. S. LIM & CO., INC.	79,400
RTG & COMPANY, INC.	17,900
S.J. ROXAS & CO., INC.	232,300
SECURITIES SPECIALISTS, INC.	19,400
SUMMIT SECURITIES, INC.	95,000
STANDARD SECURITIES CORPORATION	190,000
TANSENGCO & CO., INC.	419,000
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	320,000
TOWER SECURITIES, INC.	221,900
APEX PHILIPPINES EQUITIES CORPORATION	5,000
TRI-STATE SECURITIES, INC.	96,500
UCPB SECURITIES, INC.	275,600
VENTURE SECURITIES, INC.	10,000
VICSAL SECURITIES & STOCK BROKERAGE, INC.	1,000
FIRST METRO SECURITIES BROKERAGE CORP.	1,526,740
WEALTH SECURITIES, INC.	728,400
BERNAD SECURITIES, INC.	1,735,000
YAO & ZIALCITA, INC.	2,054,123
YU & COMPANY, INC.	60,200
BDO SECURITIES CORPORATION	4,162,200
PCCI SECURITIES BROKERS CORP.	752,400
EAGLE EQUITIES, INC.	4,536,300
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	23,000
SOLAR SECURITIES, INC.	490,500
G.D. TAN & COMPANY, INC.	598,900
PHILIPPINE EQUITY PARTNERS, INC.	33
UNICAPITAL SECURITIES INC.	61,600
SunSecurities, Inc.	50,000
ARMSTRONG SECURITIES, INC.	10,000
KING'S POWER SECURITIES, INC.	103,000
TIMSON SECURITIES, INC.	218,500
STAR ALLIANCE SECURITIES CORP.	15,000
CITIBANK N.A.	620,000
DEUTSCHE BANK MANILA-CLIENTS A/C	8,071,000
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	130,000

<b>BPNAME</b>	<b>HOLDINGS</b>
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	129,000
MBTC - TRUST BANKING GROUP	911,120
<b>TOTAL</b>	<b>184,977,543</b>

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.

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