

C04537-2017

# SECURITIES AND EXCHANGE COMMISSION

## SEC FORM 17-C

### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Jul 21, 2017

2. SEC Identification Number

A199908874

3. BIR Tax Identification No.

202955796

4. Exact name of issuer as specified in its charter

DFNN, Inc.

5. Province, country or other jurisdiction of incorporation

Philippines

6. Industry Classification Code(SEC Use Only)

7. Address of principal office

3F Bonifacio Technology Center, 31st St. cor. 2nd Ave., Bonifacio Global City, Taguig  
Postal Code  
1634

8. Issuer's telephone number, including area code

02-8180973

9. Former name or former address, if changed since last report

NA

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
Common	324,470,008

11. Indicate the item numbers reported herein

None

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



**DFNN, Inc.**  
**DFNN**

**PSE Disclosure Form 4-11 - Change in Number of Issued and Outstanding Shares**  
**References: Section 4.4 of the Revised Disclosure Rules**

**Subject of the Disclosure**

Change in the number of Issued & Outstanding Shares

**Background/Description of the Disclosure**

In a previous disclosure, we informed the investing public that DFNN entered into a subscription agreement with Excel Century Investment Limited ("Excel") wherein DFNN agreed to issue a total of 74,877,694 common shares. 69,588,832 common shares were issued on June 28, 2017 while the remaining 5,288,862 shares was issued on July 17, 2017.

**Change(s) in Number of Issued and Outstanding Shares**

**Issued Shares**

Type of Security /Stock Symbol	Before	After
Common	319,181,146	324,470,008

**Outstanding Shares**

Type of Security /Stock Symbol	Before	After
Common	319,181,146	324,470,008

**Other Changes, if any**

In percent	Before	After
Public float	85.55	85.79
Foreign Ownership level	36.23	37.27

**Filed on behalf by:**

<b>Name</b>	Maria Patricia De Las Cagigas
<b>Designation</b>	Compliance Officer